



Serial No. 09/683,659

Docket No. RD-26,623-1

1772

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Philip D'Evelyn et al.

: Group Art Unit: 1772

Serial No.: 09/683,659

: Examiner:

Filed: January 31, 2002

For HIGH TEMPERATURE HIGH PRESSURE
CAPSULE FOR PROCESSING MATERIALS IN
SUPERCRITICAL FLUIDS

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**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

- ☒ 1 **Pursuant to 37 CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.	
_____	Date of Deposit
_____	Type or Print Name
_____	Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

November 14, 2003

Date

General Electric Company
CRD Patent Docket Rm 4A59
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Respectfully submitted,



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FORM PTO-1449
(REV. 7-80)US. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RD-26,623-1SERIAL NO.
09/683,659

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMS

Applicant
Mark Philip D'Evelyn et al.Filing Date
1/31/02Group
1772**U.S. PATENT DOCUMENTS & U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	1,986,196	5/4/33	Grosse		
	A2	2,745,713	5/15/56	Suits		
	A3	4,430,051	2/7/84	Von Platen		
	A4	6,398,867	5/28/02	Guillard		
	A5					
	A6					
	A7					
	A8					
	A9					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B1	FR2 796 657	1/26/01	France		X
	B2	EP 0 860 182	8/26/98	European Patent		
	B3					
	B4					
	B5					

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

	C1	International Search Report dated October 17, 2003.
	C2	
	C3	
	C4	
	C5	
	C6	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

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